

CRIMINAL JUSTICE SECTION

The Unified Voice of Criminal Justice



SIXTH ANNUAL LONDON WHITE COLLAR CRIME INSTITUTE

Hosted at the London office of Berwin Leighton Paisner LLP (Adelaide House)

October 9-10, 2017

Free Wireless Access Point: ClientWLAN - Login: blpguest - Password: AchieveSuccess77

DAY 1 – Monday, October 9	
8:00 – 9:00 am	Registration/Check-in Continental Breakfast Networking Session CJS International White Collar Crime Committee Meeting (<i>by invitation only</i>) – Room CS1-2
9:00 – 9:55 am	Welcome & Opening Roundtable Discussion Moderator: Sandy WEINBERG, JR., Chair, Criminal Justice Section, American Bar Association and Partner, Zuckerman Spaeder LLP, Tampa Discussants: 1. David GREEN, CB, Queen's Counsel, Director, Serious Fraud Office, London 2. Trevor N. MCFADDEN, Deputy Assistant Attorney General, U.S. Department of Justice - Criminal Division, Washington, DC
10:00 – 11:15 am <i>SPECIAL NOTE – this session will begin after a brief mandatory 30-60 second fire drill at 10:00 am. Guests do NOT need to leave the auditorium.</i>	Plenary Session I – White Collar Crime Today: What Are the Investigation & Enforcement Priorities? <i>There has been a notable increase in international cooperation in investigations and prosecutions of white collar crime, particularly in the area of bribery of foreign officials. This panel will discuss some of the mechanics of this international collaboration, highlight important recent FCPA prosecutions, explain the complexities associated with corporate regulatory compliance, and discuss the increase in individual accountability in corporate wrongdoing. The panel will also examine the similarities and differences in whistleblower protections in the US and the UK and the relative effectiveness of these schemes.</i> Moderator: Hilary POTASHNER, Federal Public Defender, Central District of California, Los Angeles Panelists: 1. Kara M. BOMBACH, Shareholder, Greenberg Traurig LLP, Washington, DC 2. Mark FENHALLS, QC, Barrister, 23 Essex Street and Chairman of the Fraud Lawyers Association, London 3. Trevor N. MCFADDEN, Deputy Assistant Attorney General, U.S. Department of Justice - Criminal Division, Washington, DC 4. Bill SILLETT, Head of Retail Enforcement, Financial Conduct Authority, London
11:15 – 11:45 am	Networking Coffee & Tea Break
11:45 am – 1:00 pm	Plenary Session II – ABA Fair Trial and Public Discourse Standards <i>This panel will celebrate the publication of the American Bar Association's newest Criminal Justice Standards on Fair Trial and Free Press issues. The Fourth Edition of these Standards, now entitled "Fair Trial and Public Discourse" to reflect the changed nature of today's media environment, has just been published along with its commentary.</i> <i>The topic could not be more timely, as every day we are confronted with the difficult issues presented by balancing the need to keep the public informed with the rights of criminal defendants to a fair trial and of all persons caught up in criminal investigations to be treated fairly. This panel discussion will utilize a hypothetical investigation and criminal case involving individual and corporate defendants, and examine the publicity issues arising in such a case from the perspectives of the prosecution, defense, law enforcement,</i>

	<p><i>and judiciary. Panelists will examine differing approaches in the U.S., U.K., and EU, as well as how practitioners can utilize the standards in their practice.</i></p> <p>Convener: Sara Elizabeth DILL, Director, Criminal Justice Standards and Policy, American Bar Association, Washington, DC</p> <p>Moderator: Ronald GOLDSTOCK, Of Counsel at Pugh Jones & Johnson P.C., New York</p> <p>Panelists:</p> <ol style="list-style-type: none"> 1. Trevor BURKE, Queen's Counsel, 3 Raymond Buildings, Gray's Inn, London 2. James HINES, Queen's Counsel, 3 Raymond Buildings, Gray's Inn, London 3. Peter POPE, Co-Chair Investigations, Compliance and Defense Practice, Jenner & Block LLP, London 4. Jessica A. ROTH, Associate Professor of Law, Cardozo Law School, New York
1:00 – 2:15 pm	<p>Luncheon with Keynote Address delivered by: The Rt. Honourable Sir Tony BALDRY, Barrister, 1 Essex Court, Temple, London <i>Please remain in the auditorium for remarks and then lunch will be served on the lower level of the BLP offices.</i></p>
2:30 – 3:45 pm	<p>Plenary Session III – The Rise of Health Care Related Legislation & Prosecution on a Global Scale</p> <p><i>Regulation and government scrutiny of the health care industry is increasing on a global scale: more countries are encouraging whistleblowers; theories of liability are becoming more creative; penalties are increasing; and cooperation among foreign law enforcement agencies is expanding. This panel will discuss recent trends in global enforcement, including focus on the accuracy of health care provider communications, the integrity of the manufacturing process and drug supply chain, data security, and anti-corruption efforts, as well as the growing international receptiveness to deferred prosecution agreements and monitors. This panel will further address ways to navigate the international reach of investigations and proactive steps to take to minimize potential risk.</i></p> <p>Moderator: Kimberly A. DUNNE, Partner, Sidley Austin LLP, Los Angeles</p> <p>Panelists:</p> <ol style="list-style-type: none"> 1. Laura G. HOEY, Partner, Ropes & Gray LLP, Chicago 2. Marian J. LEE, Partner and Member of member of the FDA and Health Care Practice, Gibson, Dunn & Crutcher, Washington, DC 3. Dr. Dirk SCHERP, Of Counsel, Compliance & Investigations, Gleiss Lutz Frankfurt/M, Germany 4. Donald K. STERN, Managing Director of Corporate Monitoring & Consulting Services, Affiliated Monitors, Inc., Boston
3:45 – 4:00 pm	<p>Short Coffee & Tea Break</p>
4:00 – 5:15 pm	<p>Plenary Session IV – An International Perspective on Anti-Bribery and Anti-Corruption Measures and Law Enforcement</p> <p><i>Europe sits at a turning point and this panel, comprising notable EU ABC practitioners, considers the implications of regulatory, political and business changes within Europe, on corporate and banking financial crime controls. The panel will also discuss their views on innovative anti-corruption measures and differences in approach and emphasis within different parts of Europe. The US's continuing lead on the anti-corruption agenda and its impact on EU business will form a further area for consideration and discussion.</i></p> <p>Moderator: Sam TATE, Director and Assistant General Counsel, Exiger Limited, London</p> <p>Panelists:</p> <ol style="list-style-type: none"> 1. Angela ADJEI, Legal and Compliance Manager, Philia SA, Geneva 2. Michaela AHLBERG, Chief Ethics and Compliance Officer, Telia Company, Stockholm 3. Christian DARGHAM, Partner, Norton Rose Fulbright LLP, Paris 4. Antonio GOLINO, Partner, Clifford Chance LLP, Milan
6:00 – 8:00 pm	<p>Official Institute Networking Reception graciously hosted by Duff & Phelps Ltd. Location: The Shard, 32 London Bridge Street – 14th Floor</p>

All registrants are invited to attend this reception. Please note that you will have to show photo ID and go through a security check upon entering the building.

DAY 2 – Tuesday, October 10

8:30 – 9:15am	Registration/Check-in Continental Breakfast Networking Session
9:15 – 9:30 am	Morning Welcome and Introduction to the Hypothetical Exercises Lucian E. DERVAN, Chair-Elect, Criminal Justice Section, American Bar Association and Associate Professor, Belmont University College of Law, Nashville <i>Using a case study involving a multinational public corporation and allegations of healthcare offenses, anti-competitive practices, bribery, money laundering, securities fraud, and insider trading by its employees, the Table Top exercises provide a unique and interactive forum for the panelists and audience to discuss the legal and practical issues arising in the context of an Internal Investigation (session 1) and Prosecution (session 2). The case study has been designed to generate various issues and complexities, with a focus on problems that arise where multiple (and potentially competing) jurisdictions/regulators/prosecutors may be interested in the matter, while private civil litigants watch events with a keen eye. The expert panels will be comprised of lawyers from several countries.</i>
9:30 – 10:45 am	Plenary Session V – A White Collar Crime Hypothetical Exercise Part 1 (Investigations) Moderator: Andrew SMITH, Partner at Corker Binning, London Panelists: 1. Jason A. JONES, Partner, King & Spalding, Washington, DC 2. Norman J. HARRISON, Managing Director, Duff & Phelps Ltd., Washington, DC 3. Erin B. MCHUGH, Associate Director, NERA Economic Consulting, London and New York 4. Jasvinder NAKHWAL, Partner, Peters & Peters Solicitors LLP, London
10:45 – 11:15 am	Coffee & Tea Networking Break
11:15 am – 12:30 pm	Plenary Session VI – A White Collar Crime Hypothetical Exercise Part 2 (Prosecutions) Moderator: Lucian E. DERVAN, Chair-Elect, Criminal Justice Section, American Bar Association and Associate Professor, Belmont University College of Law, Nashville Panelists: 1. Aitan D. GOELMAN, Partner, Zuckerman Spaeder LLP, Washington, DC 2. Jonathan GRIMES, Partner, Kingsley Napley, London 3. Ilene JAROSLAW, Partner, Hoguet Newman Regal & Kenney LLP, New York 4. Jo RICKARDS, Partner, Mishcon de Reya, London
12:30 pm	Closing Remarks
12:30 – 2:00 pm 1:00 – 3:00 pm	Institute Reception with Light Lunch at Berwin Leighton Paisner A special Women in WCC Luncheon Program to be held at K&L Gates (Address: One New Change). This event is FREE, but you must register with the firm in advance to attend. More information can be found on our website .

Online agenda current as of October 6 and at time of printing – subject to change.

TWEET ABOUT US USING #ABACJS

MCLE INFORMATION

States typically decide whether a program qualifies for CLE credit in their jurisdiction 4-8 weeks after the program application is submitted. For many live events, credit approval is not received prior to the program. The ABA directly applies for and ordinarily receives credit for live, in-person programs in AK,

AL, AR, AZ, CA, CO, CT, DE, GA, GU, HI, IA, IL, IN, KS, KY, LA, ME, MN, MS, MO, MP, MT, NH, NJ, NM, NV, NY, NC, ND, OH, OK, OR, PA, SC, TN, TX, UT, VT, VA, VI, WA, WI, and WV. **Please visit the CLE table just outside the conference room for more details & the appropriate forms for credit.**

This course is expected to qualify for 7.5 CLE credit hours in 60-minute states, and 9 credit hours in 50-minute states. This transitional program is approved for both newly admitted and experienced attorneys in NY. Attorneys may be eligible to receive CLE credit through reciprocity or attorney self-submission in other states. For more information about CLE accreditation in your state, [please visit here](#) or contact CLE Coordinator, Carol Rose - Carol.Rose@americanbar.org. **SCHOLARSHIPS:** A limited number of scholarships with a 50% discount on \$500 registration fees are available for this program. Please contact Carol Rose at Carol.Rose@americanbar.org for details.

THANK YOU TO OUR GENEROUS SPONSORS

PLATINUM SPONSORS



RECEPTION SPONSOR



GOLD SPONSOR:



HOST VENUE:



PROGRAM SPONSORS



SAVE THE DATE FOR LONDON 2018
October 8-9, 2018

Stay connected to the unified voice of criminal justice:

Like us on Facebook: [facebook.com/ABACJS](https://www.facebook.com/ABACJS) | Add us on LinkedIn: <http://www.linkedin.com/in/abacjs> | Follow us on Twitter: @ABACJS