Trade-Based Money Laundering & Trade Finance Compliance Forum
21st September 2017

08.30   Registration

09.00   **Chairman's Opening Remarks**

Mark Norris
Partner
Sullivan & Worcester

09.15   **A View from OFAC**

Alison Cooper
Chief, Blocked Assets Administration and Analysis
OFAC

10.00   **Learning from Thematic Reviews**

Marcus Peckham
VP, Financial Crime Risk AML Advisory
Barclays

David Heard
Head of Trade Finance sanctions advisory, including Export Controls
Barclays

10.40   Morning Coffee

11.00   **Panel Discussion: Establishing a Risk Appetite & Red Flags for TBML**

Benjamin Anwuri
Head of Compliance & MLRO
FCMB Bank UK

Simon Wilkin
Head of Financial Crime Group
Sumitomo Mitsui Banking Corporation

Denis O'Connor
AML Expert
Independent

11.40   **Red-Flags in Practice – Case Studies**

Simon Wilkin
Head of Financial Crime Group
Sumitomo Mitsui Banking Corporation

12.10   Information Sharing and Public-Private Partnerships

Jonathan Groom
Head of Integration and Head External & Internal Engagement, Financial Crime Threat
Mitigation
HSBC

12.50   Lunch

13.50   How Trade and Financial Sanctions Impact Exports and Trading Activities

Claire Harrison
Head of Export Control Business Awareness, Export Control Organisation
DIT

David McLean
Deputy Head
Office of Financial Sanctions Implementation

14.40   Vessel Checking and Financial Crime Compliance

Alberto Almaraz
Head of Trade Finance Financial Crime Compliance, Europe
Standard Chartered Bank

15.20   Blockchain with Trade Finance

Steve Webb
Partner, UK FS Blockchain Leader
PWC

Ben Luddington
Financial Crime Director
PWC

16.00   Afternoon Coffee

16.20   Finding the UBO – Navigating Complex Corporate Structures

Anna Bradshaw
Of Counsel
Peters & Peters

Ted Datta
Director
GRC Solutions (UK & Ireland)

17.00   Panel Discussion: Balancing Business & Risk – Trade Finance & De-Risking

Brendan Leddy
Head of Compliance and MLRO
British Arab Commercial Bank

John Turnbull
Director/Chair
Certis International Limited/UK Banking Committee ICC

Roger Jones
Banking Consultant
Independent

17.40   Chairman's Closing Remarks